

QUEST CAPITAL MARKETS LIMITED

(Formerly known as BNK Capital Markets Limited)

CIN: L34202WB1986PLC040542

Regd. Office: Duncan House, 31, Netaji Subhas Road, Kolkata – 700 001

Tel No: (033) 6625 1000/1500; TELEFAX: (033): 22306844;

E-mail: secretarial.qcml@rpsg.in; website: www.qcml.in

Date: 10.05.2022

The Deputy General Manager,
BSE Limited
Mumbai - 400 001
Security Code: 500069
Through BSE Listing Center

The Secretary
The Calcutta Stock Exchange Ltd
Kolkata - 700 001
Script Code: 10012048
Through CSE Compliance Uploader

Dear Sir,

Sub: Submission of Annual Secretarial Compliance Report as per Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Please find enclosed herewith the Annual **Secretarial Compliance Report** as per Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March 2022.

Kindly make note of the same.

Thanking You.

**Yours Faithfully,
For, Quest Capital Markets Limited**

NITIKA Digitally signed
by NITIKA RATHI
Date: 2022.05.10
12:51:02 +05'30'
RATHI

**Nitika Rathi
Company Secretary & Compliance Officer
M No.: - A44048**



A L & ASSOCIATES

Company Secretaries

24, Netaji Subhas Road, 4th Floor, Room No. 33, Kolkata - 700 001
Ph. : 4007 4750, E-mail : alassociates2014@gmail.com

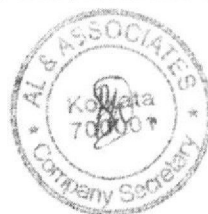
Secretarial Compliance Report of M/s Quest Capital Markets Ltd (Formerly Known as BNK Capital Markets Limited) for the year ended 31st March, 2022

We AL & Associates have examined:

- a) All the documents and records made available to us and explanation provided by **M/s Quest Capital Markets Ltd** (Formerly Known as BNK Capital Markets Limited) ("the listed entity"),
- b) The filings / submissions made by the listed entity to the stock exchanges,
- c) Website of the listed entity,
- d) Any other document / filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2022 in respect of compliance with the provisions of:
 - a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
 - b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars /guidelines issued there under, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **No Issue of any security during the year**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **The Company has not made any acquisition during the year.**
- d) Securities and Exchange Board of India (Buy back of Securities) Regulations, 2018; **No instances were reported during the year.**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **No instances were reported during the year.**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **No instances were reported during the year.**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **No such instances reported during the year.**
- h) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; **No such instances reported during the year.**
- i) The Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999; **No such instances reported during the year.**





A L & ASSOCIATES

Company Secretaries

24, Netaji Subhas Road, 4th Floor, Room No. 33, Kolkata - 700 001

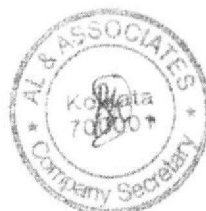
Ph. : 4007 4750, E-mail : alassociates2014@gmail.com

- j) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client- **The Company has duly appointed a SEBI authorized Category I Registrar and Share Transfer Agent as required under Law.**
- k) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009. **No Delisting was done during the year.**
- l) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998. **No buy – back was done during the year.**
And circulars/ guidelines issued there under; and based on the above examination, We hereby report that, during the Review Period:
- a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued there under;:

Sr. No.	Compliance Requirement (Regulations/ Circulars/ Guidelines including Specific clause)	Deviations	Observations/ Remarks of the Practicing Professional
1.	NA	NA	NA

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my/our examination of those records.
- c) No action has been taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under;

Sr. No.	Action Taken by	Details of violation	Details of action taken e.g. Fine, Warning, Letter, Debarment, etc.	Observations/ Remarks of the Practicing Professional
1.	NA	NA	NA	NA





A L & ASSOCIATES

Company Secretaries

24, Netaji Subhas Road, 4th Floor, Room No. 33, Kolkata - 700 001
Ph : 4007 4750, E-mail : alassociates2014@gmail.com

- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observation/ Remarks of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The Years are to be mentioned)	Action Taken by the Listed Entity, If any	Observation/ Remarks of the Practicing Company Secretary, on the actions taken by the listed entity
1.			NA	



Place: Kolkata
Date: 07.05.2022

For AL & ASSOCIATES

Prili Lakhota
Partner

PRITILAKHOTIA

Practicing Company Secretary

Membership No: 10843

C.P. No.: 12790

UDIN: F010843D000284644